

## CLAIMS

- 1     1.     An extensible, system-independent, version-interoperable format for transmitting  
2     a data stream having data set information from a source system to a replica residing on a  
3     destination system comprising:  
4         a plurality of standalone headers having discrete identifiers, each of the plurality  
5     of standalone headers being representative of a plurality of data stream characteristics;  
6     and  
7         a data following header that follows, in the data stream, the plurality of standalone  
8     headers and that indicates that the data set information is following the data following  
9     header, the data set header including an extended attribute field that associates an ex-  
10    tended attribute with the data set information.
- 1     2.     The format as set forth in claim 1 wherein the plurality of standalone headers each  
2     include an indication of one of a plurality of specialized header types and at least some of  
3     the plurality of specialized header types are adapted for carrying directory inode data.
- 1     3.     The format as set forth in claim 3 wherein the data stream is adapted to carry  
2     source file system inode data and source file generation numbers.
- 1     4.     The format as set forth in claim 2 wherein one of the specialized header types  
2     comprises a deleted files type and the directory inode data comprises a list of deleted files  
3     on the source file system.
- 1     5.     The format as set forth in claim 1 wherein the extended attributes include ACLs  
2     and streams associated with a plurality of operating systems and system architectures.
- 1     6.     The format as set forth in claim 1 wherein one of the plurality of standalone head-  
2     ers comprises an open file/undo header that instructs the destination system to revert to an  
3     earlier copy of a stored file identified by the open file/undo header.

- 1     7.     The format as set forth in claim 1 wherein the data set information comprises file  
2     information.
- 1     8.     The format as set forth in claim 1 wherein the data set information comprises  
2     changed files on the source system transmitted for backup on the replica of the destina-  
3     tion system.
- 1     9.     The format as set forth in claim 1 wherein the data following header includes off-  
2     set and block number information with respect to the data set information that follows the  
3     data following header.
- 1     10.    The format as set forth in claim 1 wherein data following header comprises a  
2     fixed-length record including (a) a generic part for storing an indication of a data follow-  
3     ing header type; (b) a non-generic part, adapted to carry predetermined data related to the  
4     extended attribute and data related to offsets and block numbers for the data set informa-  
5     tion that follows the data following header; and (c) a space for a bit-code representative  
6     of a name associated with the extended attribute.
- 1     11.    The format as set forth in claim 1 wherein each of the plurality of standalone  
2     headers comprises a fixed-length record including a generic part for storing an indication  
3     of one of a plurality of specialized header types, a non-generic part, adapted to carry pre-  
4     determined data related one of the specialized header types and a space for additional in-  
5     formation.
- 1     12.    The format as set forth in claim 1 wherein the data following header is adapted to  
2     be positioned within the data stream at predetermined intervals that are up to approxi-  
3     mately 2 MB of data set information in size.

1 13. The format as set forth in claim 1 wherein the destination system is adapted to  
2 receive the data following header with the extended attribute and cause the data set in-  
3 formation associated with the extended attribute to be stored an entry in a hidden perma-  
4 nent metadirectory with identifiers that are the same as identifiers for the data set infor-  
5 mation in a file system of the destination system, the entry having the extended attribute  
6 associated therewith so that retrieval of the entry from the hidden permanent metadirec-  
7 tory also retrieves the extended attribute.

1 14. The format as set forth in claim 13 wherein the destination system also includes a  
2 hidden purgatory metadirectory in which current data set information from the hidden  
3 permanent directory is stored during an update of the hidden permanent metadirectory  
4 with changed data set information, the destination system being further adapted to (a)  
5 delete the hidden purgatory metadirectory after a complete receipt of all expected  
6 changed data set information of the hidden permanent metadirectory with the changed  
7 data set information, and (b) move current data set information stored on the hidden pur-  
8 gatory directory back to the hidden permanent metadirectory after an incomplete receipt  
9 of all expected changed data set information.

1 15. The format as set forth in claim 14 wherein the destination system is adapted to  
2 create hidden new metadirectory to store changed data set information for transfer to the  
3 hidden permanent directory after of the complete receipt of all the expected changed data  
4 set information.

1 16. The format as set forth in claim 1 wherein the source system and the destination  
2 system are remote with respect to each other and interconnected by a network, and  
3 wherein the data stream is encapsulated within a networking protocol adapted for trans-  
4 mission over the network.

1 17. A format for transmitting a data stream that includes data set information between  
2 a source system and a replica stored on the destination system comprising:

3 a data following header appended to a predetermined-sized chunk of data, the data  
4 following header including a field that identifies extended attributes associated with data  
5 set information carried in the chunk.

1 18. The format as set forth in claim 17 wherein the extended attributes include ACLs  
2 and streams associated with a plurality of operating systems and system architectures.

1 19. The format as set forth in claim 17 wherein the data set information comprises file  
2 information.

1 20. The format as set forth in claim 17 wherein the data set information comprises  
2 changed files on the source system transmitted for backup on the replica of the destina-  
3 tion system.

1 21. The format as set forth in claim 17 wherein the data following header includes  
2 offset and block number information with respect to the data set information that follows  
3 the data following header.

1 22. The format as set forth in claim 17 wherein data following header comprises a  
2 fixed-length record including (a) a generic part for storing an indication of a data follow-  
3 ing header type; (b) a non-generic part, adapted to carry predetermined data related to the  
4 extended attribute and data related to offsets and block numbers for the data set informa-  
5 tion that follows the data following header; and (c) a space for a bit-code representative  
6 of a name associated with the extended attribute.

1 23. The format as set forth in claim 17 wherein the chunk has a size of up to ap-  
2 proximately 2 MB of data set information.

1 24. The format as set forth in claim 17 wherein the destination system is adapted to  
2 receive the data following header with the extended attribute and cause the data set in-

3   formation associated with the extended attribute to be stored an entry in a hidden perma-  
4   nent metadirectory with identifiers that are the same as identifiers for the data set infor-  
5   mation in a file system of the destination system, the entry having the extended attribute  
6   associated therewith so that retrieval of the entry from the hidden permanent metadirec-  
7   tory also retrieves the extended attribute.

1   25.   The format as set forth in claim 24 wherein the destination system also includes a  
2   hidden purgatory metadirectory in which current data set information from the hidden  
3   permanent directory is stored during an update of the hidden permanent metadirectory  
4   with changed data set information, the destination system being further adapted to (a)  
5   delete the hidden purgatory metadirectory after a complete receipt of all expected  
6   changed data set information of the hidden permanent metadirectory with the changed  
7   data set information, and (b) move current data set information stored on the hidden pur-  
8   gatory directory back to the hidden permanent metadirectory after an incomplete receipt  
9   of all expected changed data set information.

1   26.   The format as set forth in claim 25 wherein the destination system is adapted to  
2   create hidden new metadirectory to store changed data set information for transfer to the  
3   hidden permanent directory after of the complete receipt of all the expected changed data  
4   set information.

1   27.   A method for storing and retrieving extended attributes associated with a data set  
2   information comprising:

3       storing a current data set information with current extended attributes in a perma-  
4   nent hidden metadirectory;

5       transferring the data set information to a purgatory metadirectory upon receipt of  
6   a changed data set information;

7       storing the received changed data set information in a new metadirectory; and

8       upon completion of receipt of all expected changed data set information, transfer-  
9   ring the received changed data set information from the new metadirectory to the perma-

10    nent metadirectory, the permanent metadirectory thereby being available for retrieval of  
11    extended attributes associated with the data set information.

1    28.    The method as set forth in claim 27 further comprising the step of, upon a failure  
2    to complete receipt of all expected changed data set information, transferring the current  
3    data set information with the current extended attributes back to the permanent metadi-  
4    rectory.

1    29.    The method as set forth in claim 28 wherein the data set information comprises  
2    files organized in a directory tree structure the same as a file system structure on the des-  
3    tination system and wherein the extended attributes comprise ACLs and streams associ-  
4    ated with the files.

1    30.    The method as set forth in claim 29 further comprising the step of deleting the  
2    purgatory metadirectory after one of, either (a) the transferring of the changed data set  
3    information from the new metadirectory to the permanent metadirectory or (b) the trans-  
4    ferring of the current data set information from the purgatory metadirectory back to the  
5    permanent metadirectory.

1    31.    The method as set forth in claim 30 further comprising, upon a request from the  
2    source to restore data sets from the data set information, scanning the permanent direc-  
3    tory and retrieving the data sets including retrieving respective of the extended attributes  
4    associated with the data sets.

1    32.    The method as set forth in claim 31 further comprising the step of providing the  
2    retrieved data sets' extended attributes in a format for transmission to the source from the  
3    destination, the format including data following headers each having a field that associ-  
4    ates the respective of the extended attributes with the retrieved data sets.

1 33. The method as set forth in claim 32 wherein the respective of the extended attrib-  
2 utes are associated with the data sets based upon NT streams.

1 34. The method as set forth in claim 27 wherein the extended attributes are associated  
2 with the data set information in the permanent metadirectory using NT streams.

1 35. The method as set forth in claim 27 further comprising the step of providing the  
2 data sets' extended attributes in a format for transmission to the destination from the  
3 source, the format including data following headers each having a field that associates the  
4 respective of the extended attributes with the retrieved data sets.

1 36. A method for ensuring coherency in a data set transmitted from a source system to  
2 a replica on a destination system comprising the steps of:  
3 retrieving a first modification time on the source system for the data set;  
4 opening the data set on the source system and transmitting the data set from the  
5 source system to the destination;  
6 after completing transmitting, closing the data set on the source system and re-  
7 trieving second modification time on the source system; and  
8 if the second modification time and the first modification time are not the same,  
9 providing by the source system an instruction to the destination system to revert to an  
10 earlier stored copy of the data set on the replica.

1 37. The method as set forth in claim 36 wherein the step of providing the instruction  
2 comprises transmitting an undo standalone header in a data stream that includes the data  
3 set, the standalone header identifying the data set and indicating an undo header type.